



**DEPARTMENT OF INSURANCE, FINANCIAL
INSTITUTIONS AND PROFESSIONAL REGISTRATION**

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: DOUGLAS RIEDER
STERLING RISK ADVISORS
2500 CUMBERLAND PKWY. SE STE. 400
ATLANTA, GA 30339-3923

TRACKING ID 337360
PROFESSIONAL REGISTRATION

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Douglas Rieder and the Division of Consumer Affairs (“Division”) of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, “Director” of the “Department”), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Douglas Rieder (“Rieder”) has a nonresident individual surplus lines insurance producer license with the Department, pursuant to Chapter 384, RSMo;

WHEREAS, the Division has received information concerning Rieder's failure to timely file his 2018 Appendix 3 Report, which is a violation of Section 384.057.1, RSMo (2016), and subjects Rieder to enforcement action by the Director;

WHEREAS, Rieder has been informed of his right to counsel and of his right to contest any attempt by the Department to discipline his surplus lines insurance producer license, and states that he understands his rights to contest any such actions;

AND WHEREAS, Rieder acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on an additional violation of the insurance laws or regulations by Rieder, in which action the Director or the Division alleges that the violation described herein forms part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Rieder are committed knowingly, intentionally or in conscious disregard of the law, that he failed to timely file his 2018 Appendix 3 Report, and that such conduct violated Section 384.057.1, RSMo;

NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Rieder does hereby voluntarily and knowingly surrender and forfeit the sum of \$250.00 (two hundred fifty dollars), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Rieder shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than May 10, 2019.

The parties agree that, should the Director or the Division in the future allege a different violation of the insurance laws or regulations by Rieder, nothing in this Agreement shall preclude the Director or the Division from introducing Rieder's admissions contained in this

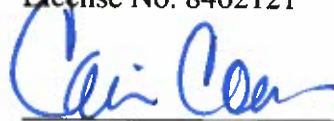
Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

DATED: 5/9/19



Douglas Rieder
License No. 8402121

DATED: 5/16/19



Carrie Couch, Director
Division of Consumer Affairs

DATED: 05/16/2019



Chlora Lindley-Myers, Director
Department of Insurance, Financial
Institutions and Professional
Registration

Return original to:
Karen Crutchfield
Missouri Department of Insurance,
Financial Institutions and Professional Registration
PO Box 4001
Jefferson City, MO 65102